



*Doing Business As:*

Parallel Financial  
511 Rhett Street, Suite 2A  
Greenville, SC 29601  
864-385-7999

Parallel Financial  
26 Parkway Commons Way  
Greer, SC 29650  
864-385-7999

Parallel Financial  
916 E North 1<sup>st</sup> Street  
Seneca, SC 29678  
864-385-7999

Parallel Financial  
149 W Main Street Suite B  
Spartanburg, SC 29306  
864-385-7999

Altum Wealth Alliance  
5555 Glenridge Connector, Suite 200  
Atlanta, GA 30342  
404-984-2620

Echelon Financial Management  
7004 Bee Cave Road, Building 3, Suite 210  
Austin, TX 78746  
512-381-4500

Creative Retirement Solutions Group  
13854 Lakeside Circle, Suite 216 and 210  
Sterling Heights, MI 48313  
877-907-8625

Elm Wealth Advisors  
100 East Town Place, Suite 101  
St. Augustine, FL 32092  
904-940-9555

Merrimack Wealth Management, LLC  
461 Main Street  
Tewksbury, MA 01876  
978-851-4411

Stewardship Investments, Inc.  
691 98<sup>th</sup> AVE N  
Naples, FL 34108  
218-420-0708

Stewardship Investments, Inc.  
24414 Daniel Lake Dr.  
Bagley, MN 56621  
218-420-0708

Kingdom Wealth Management  
624 West 21<sup>st</sup> Street  
Houston, TX 77008  
832-474-7381

Norris Lake Retirement Planning  
316 East Main Street  
Anoka, MN 55303  
763-400-3200

[www.fiduciaryalliance.org](http://www.fiduciaryalliance.org)

Dated February 8, 2024

## Form ADV Part 2B – Brochure Supplement

**Brian Boughner**  
Managing Member  
CRD# 4152328

**Gina Rose Salamin**  
Investment Advisor  
Representative  
CRD# 2714163

**Peyton Hoppes**  
Investment Advisor  
Representative  
CRD# 6848340

**Robert Moses**  
Investment Advisor  
Representative  
CRD# 4132113

**Joseph Nelson**  
Investment Advisor  
Representative  
CRD# 4637736

**Edgar Lawrence  
McLean IV**  
Investment Advisor  
Representative  
CRD# 5322814

**Jonathan Taylor Dick**  
Investment Advisor  
Representative  
CRD# 7683195

**Chris Balcerowiak**  
Investment Advisor  
Representative  
CRD# 1568743

**Jay Jackson**  
Investment Advisor  
Representative  
CRD# 7660389

**Anthony Mahfood**  
Managing Member  
CRD# 2959577

**Steven Tolleson**  
Investment Advisor  
Representative  
CRD# 6276568

**Jordan Roberts**  
Investment Advisor  
Representative  
CRD# 6531315

**Christopher Wilbratte**  
Investment Advisor  
Representative  
CRD# 2990339

**Brian Dick**  
Investment Advisor  
Representative  
CRD# 5290334

**Matthew James Myrick**  
Investment Advisor  
Representative  
CRD# 6908597

**Tabitha Lundstrom**  
Investment Advisor  
Representative  
CRD# 6446482

**Mary Sheahan**  
Investment Advisor  
Representative  
CRD# 7278381

**Justin Chastain**  
Investment Advisor  
Representative  
CRD# 6157453

**Gregory Towner**  
Chief Investment Officer  
CRD# 3262601

**David Chudyk**  
Investment Advisor  
Representative  
CRD# 4606891

**Susan Harvell**  
Investment Advisor  
Representative  
CRD# 4442833

**Barry Dick**  
Investment Advisor  
Representative  
CRD# 2441904

**Randall Neighbour**  
Investment Advisor  
Representative  
CRD# 6002976

**Ted Erhart**  
Investment Advisor  
Representative  
CRD# 5426314

**Matthew A. Keefe**  
Investment Advisor  
Representative  
CRD# 7780377

**Anna F. Wolford**  
Investment Advisor  
Representative  
CRD# 7368855

This brochure supplement provides information about the above listed that supplements the Fiduciary Alliance LLC (“The Fiduciary Alliance”) brochure. A copy of that brochure precedes this supplement. Please contact Victoria Latham if the Fiduciary Alliance brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) which can be found using their identification numbers (“CRD Number”).

# Item 2: Educational Background and Business Experience

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## Brian Boughner, CFA®

Born: 1973

### **Educational Background**

- 1994 – BS, Marketing, Florida State University

### **Business Experience**

- 08/2016 – Present, Fiduciary Alliance LLC, Managing Member, Chief Compliance Officer
- 03/2013 – Present, Parallel Financial Partners, Principal
- 08/2008 – 03/2013, BB&T Asset Management, Portfolio Manager
- 01/2006 – 08/2008, Bank of America, Portfolio Manager

## Anthony Mahfood

Born: 1974

### **Educational Background**

- 2002 – MBA, Finance and Operations, University of Tennessee, Knoxville
- 1997 – BA, Communications and Business, John Carroll University

### **Business Experience**

- 08/2016 – Present, Fiduciary Alliance LLC, Managing Member
- 03/2013 – Present, Parallel Financial Partners, Principal
- 01/2004 – 03/2013, BB&T Wealth Management, Wealth Advisor

## Gregory Towner, CFA®

Born: 1972

### **Educational Background**

- 1999 – MBA, University of Central Florida
- 1993 – BA, Sport Management with Minor in Business Administration, University of Mount Union

### **Business Experience**

- 08/2016 – Present, Fiduciary Alliance, LLC, Chief Investment Officer
- 11/2014 – 08/2016, Lakeview Capital Partners, LLC, Portfolio Manager
- 12/2013 – 11/2014, Thoughtful Investments, LLC, Owner
- 08/2008 – 10/2013, Sterling Capital Management, Portfolio Manager

## **Jordan Roberts**

Born: 1985

### **Educational Background**

- 2014 – Juris Doctor, Charleston School of Law
- 2008 – BA, Biological Sciences & BA Modern Languages: Spanish, Clemson University

### **Business Experience**

- 09/2020 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 06/2019 – 07/2020, UBS Financial Services, Registered Representative
- 06/2015 – 05/2019, Black Cypress Capital Management, General Counsel & Chief Compliance Officer

## **Gina Rose Salamin**

Born: 1972

### **Educational Background**

- 2022 – MBA, University of New South Wales, AGSM
- 1994 – BS, International Business, Messiah College,

### **Business Experience**

- 06/2022 – Present, Fiduciary Alliance LLC, Trader/Analyst
- 08/2019 – 06/2022, Greenville County Schools, Math Teacher
- 10/2007 – 08/2019, Retired, Stay at Home Parent

## **Susan Harvell**

Born: 1974

### **Educational Background**

- 1997 – BA, Finance, Wofford College

### **Business Experience**

- 09/2020 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 05/2016 – 08/2020, Foster Victor Wealth Management, Investment Specialist
- 10/2009 – 05/2016, Robert T Victor, Administrative Assistant
- 01/2006 – 08/2008, Bank of America, Portfolio Manager
- 05/2004 – 03/2009, Regions Bank, Branch Manager

## **Steve W. Tolleson**

Born: 1983

### **Educational Background**

- 2011 – BA, Business and Finance, University of South Carolina, Columbia

### **Business Experience**

- 11/2016 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 07/2005 – 10/2016, Major League Baseball Player, various teams

## David Chudyk

Born: 1973

### **Educational Background**

- 1996 – BS, Interdisciplinary Studies, Coastal Carolina University

### **Business Experience**

- 07/2022 – Present, All of My Assets, LLC, Owner
- 08/2019 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 03/2005 – Present, Nationwide Insurance, Agent
- 08/2008 – 07/2019, Nationwide Securities, Inc., Mass Transfer
- 04/2005 – 07/2019, Nationwide Securities, Registered Representative
- 04/2004 – 03/2005, New York Life Insurance Co, Agent

## Christopher Wilbratte, CFA®

Born: 1967

### **Educational Background**

- 1990 – BBA, Finance and Marketing, University of Texas at Austin

### **Business Experience**

- 02/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 02/2021 – Present, Purshe Kaplan Sterling Investments, Registered Representative
- 10/2013 – Present, Quantum Pension Services Mgmt. Corp, Adviser
- 05/2013 – Present, Downing Morano CPAS, Partner
- 05/2013 – Present, Echelon Financial, Partner
- 12/1992 – Present, Quantum Pension Services Inc, Owner/Sales
- 01/2005 – 02/2021, GWN Securities, Registered Representative
- 09/2005 – 12/2016, C&B Wilbratte LP, Owner

## Peyton Hoppes

Born: 1993

### **Educational Background**

- 2016 – BS, Economics, Clemson University

### **Business Experience**

- 10/2019 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 08/2017 – 08/2019, Ameriprise Financial Services, Registered Representative
- 04/2017 – 08/2018, Hughes Investment, Assistant Property Manager
- 03/2017 – 04/2018, Southern MEP, Electrician
- 10/2016 – 03/2017, Palmetto Athletics, Coach
- 05/2016 – 10/2016, Enterprise Rent-A-Car, Management Trainee
- 04/2013 – 05/2016, Carolina Cheer and Dance, Coach
- 04/2012 – 08/2014, Camp Highlander, Camp Counselor
- 11/2009 – 04/2012, Dickey's BBQ, Kitchen Staff

## **Barry Raymond Dick**

Born: 1967

### **Educational Background**

- 1989 – BS, Finance, Business Management Minor, Nichols College

### **Business Experience**

- 03/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 12/2009 – Present, Dick Insurance Agency, Owner
- 02/2002 – Present, Merrimack Financial, Registered Representative
- 05/2007 – 03/2021, First Financial Advisors, Registered Representative

## **Robert Moses**

Born: 1960

### **Educational Background**

- 1983 – BA in Applied Science/Engineering, Miami University

### **Business Experience**

- 11/2020 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 11/2020 – Present, Purshe Kaplan Sterling Investments, Registered Representative
- 06/2000 – 10/2020, Hornor Townsend and Kent, Inc, Registered Representative

## **Edgar Lawrence McLean IV**

Born: 1984

### **Educational Background**

- 2006 – BS, Religious Studies, Berean College, Jacksonville

### **Business Experience**

- 03/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 03/2007 – 03/2021, First Financial Advisors, Registered Representative

## **Joseph Nelson, CFP®**

Born: 1963

### **Educational Background**

- 1993 – MBA, University of St. Thomas – Opus College of Business
- 1985 – BS, Biblical Studies & Business Mgt., Northwestern College

### **Business Experience**

- 06/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 06/2021 – Present, Fortune Financial Services, Inc., Registered Representative
- 01/2007 – Present, Stewardship Investments, Inc., Owner
- 01/2007 – 06/2015, Sigma Financial, Registered Representative
- 03/1994 – 12/2002, Project Funding Coordinator, Wycliffe Bible Translators

**Randall Neighbour, RICP®, APMA®**

Born: 1962

**Educational Background**

- 1981– 82, Attended Northwestern Bible College

**Business Experience**

- 07/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 07/2021 – 07/2022, Fortune Financial Services, Inc., Registered Representative
- 06/2018 – Present, Kingdom Wealth Management, Owner
- 02/1990 – Present, Touch Outreach Ministries, President
- 03/2013 – 09/2018, Lincoln Financial Advisors, Registered Representative

**Matthew James Myrick, CFP®**

Born: 1991

**Educational Background**

- 2016 – MBA, Ohio Christian University
- 2013 – BS, Economics, Bemidji State University

**Business Experience**

- 06/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 07/2018 – 06/2021, SPC., Registered Representative
- 05/2018 – 06/2021, Sigma Financial, Registered Representative
- 02/2018 – Present, Stewardship Investments, Inc., Wealth Manager
- 06/2014 – 02/2018, Oaks Hills Christian College, Director of Financial Aid
- 06/2013 – 06/2014, Roger's Two-Way Radio, Sales Representative

**Brian H. Dick**

Born: 1967

**Educational Background**

- 1989 – BS, Business Administration, Nichol College

**Business Experience**

- 07/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 01/2009 – Present, Dick Insurance Agency, Co-Owner
- 09/2002 – Present, Merrimack Wealth Management, President
- 02/2022 – Present, Merrimack Wealth Management, Owner

**Jonathan Taylor Dick**

Born: 1994

**Educational Background**

- 2017 – BS, Pharmaceutical Sciences, University of Rhode Island

**Business Experience**

- 01/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative



- 01/2023 – Present, Merrimack Wealth Management, Financial Advisor
- 06/2020 – Present, Dick Insurance Agency, Account Executive
- 06/2020 – 01/2023, Merrimack Wealth Management, Account Executive
- 06/2018 – 05/2020, Lonza Biologics, QC Analyst II
- 12/2017 – 03/2018, Pharmaleucence, QC Analyst

### **Ted Erhart, CFP®**

Born: 1984

#### **Educational Background**

- 2007 – BS, Finance, St. Cloud State University
- 2005 – AA, General Education, Anoka Ramsey Community College

#### **Business Experience**

- 02/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 02/2023 – Present, Norris Lake Retirement Planning, LLC, Founder/Owner
- 02/2010 – Present, Ted Erhart, Sole Proprietor, Owner
- 02/2018 – 02/2023, LaSalle Street Securities, LLC, Registered Representative
- 02/2018 – 02/2023, Trott Brook Financial, LLC, Investment Advisor Representative
- 11/2015 – 02/2023, Trott Brook Financial, Inc., Chief Investment Officer

### **Tabitha Lundstrom**

Born: 1986

#### **Educational Background**

- 2008 – BSc, Psychology, Bemidji State University

#### **Business Experience**

- 04/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 08/2023 – Present, Stewardship Investments, Inc., Operations & Compliance Manager
- 02/2015 – 08/2023, Stewardship Investments, Inc., Administrative Assistant

### **Chris Balcerowiak, CFP®**

Born: 1960

#### **Educational Background**

- 1985 – BS, Finance, Lawrence Technological University

#### **Business Experience**

- 05/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 12/2017 – Present, Creative Retirement Solutions Group, LLC, Founder/Owner
- 10/2009 – 05/2023, Ameriprise Financial Services, Financial Advisor Professional

## Mary Sheahan

Born: 1992

### **Educational Background**

- 2014 – BS, Spanish/Biology, Wayne State University

### **Business Experience**

- 05/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 06/2020 – Present, Creative Retirement Solutions Group, Registered Staff
- 08/2017 – 06/2020, Holy Name Catholic School, Paraprofessional/Coordinator
- 06/2018 – 04/2019, Lindamood-Bell Learning Center, Tutor
- 09/2016– 09/2017, St. Lucy Catholic Church, Receptionist
- 01/2015 – 03/2017, Divine Mercy Center, Ministry Assistant

## Matthew A. Keefe

Born: 1994

### **Educational Background**

- 2012-16 – Attended Rivier University

### **Business Experience**

- 07/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 01/2020 – Present, Merrimack Wealth Management, Financial Advisor
- 01/2020 – Present, Dick Insurance Agency, Account Executive
- 06/2016– 01/2020, Play Ball, LLC, Director of Operations

## Anna F. Wolford, CFP®

Born: 1992

### **Educational Background**

- 2013 – BA, Foreign Languages, George Mason University

### **Business Experience**

- 07/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 07/2023 – Present, Parallel Financial LLC, Associated Financial Advisor
- 03/2023 – 07/2023, Unemployed
- 11/2021 – 02/2023, Syntrinsic Investment Counsel, Associate Consultant
- 03/2017 – 12/2020, CDA Group, Paraplanner

## Jay Jackson

Born: 1977

### **Educational Background**

- 2000 – BS, Mechanical Engineering, Texas Tech University
- 1998 – AS, Engineering, Amarillo College

## Business Experience

- 01/2024 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 11/2022 – 01/2024, PFS Investment Inc., Investment Advisor Representative
- 09/2022 – 01/2024, Primerica Financial Services, Financial Representative
- 12/2022 – 04/2023, Jackson Hewitt Tax Service, Tax Preparer
- 03/2022 – 01/2023, Self-Employed Financial Coach, Financial Coach
- 04/2022 – 08/2022, Rethink Wealth, Financial Representative
- 06/2000 – 02/2022, Shell Oil Company, Engineer

## Justin Chastain, CFP®

Born: 1983

## Educational Background

- 1985 – BA, Business, Furman University

## Business Experience

- 02/2024 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 09/2019 – Present, Southern Bank, Financial Wellness Manager
- 06/2018 – 09/2019, Strategy Corps, Client Manager

## Professional Designations, Licensing & Exams

**Chartered Financial Analyst® (CFA®):** The CFA® Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. It is designed to prepare charterholders for a wide range of investment specialties that apply in every market all over the world. To earn a CFA® charter, applicants study for three exams (Levels I, II, III) using an assigned curriculum. Upon passing all three exams and meeting the professional and ethical requirements, they are awarded a charter.

**CFP® (Certified Financial Planner®):** CFP® certificants must have a minimum of three years' workplace experience in financial planning and develop their theoretical and practical financial planning knowledge by completing a comprehensive course of study approved by CFP® Board. They must pass a comprehensive 2-day, 10-hour CFP® Certification Examination that tests their ability to apply financial planning knowledge in an integrated format. As a final step to certification, CFP® practitioners agree to abide by a strict code of professional conduct.

**CDFA® (Certified Divorce Financial Analyst®):** CDFA® certificants must have three years of professional experience in finance or divorce and a bachelor's degree. They must pass four certification exams (online, proctored, closed book). They are required to take 15 divorce-related hours of continuing education every two years.

**RICP® (Retired Income Certified Professional®):** RICP® Three years' experience in financial planning or a related profession before you can use the RICP® designation. Participation in the annual Professional Recertification Program (PRP) is required to maintain the designation.

**APMA® (Accredited Portfolio Management Advisor®):** APMA® 11 module focusing on program concepts. Online instructor-led graduate course involving direct application of program topics. 16 hours of continuing education every two years is required.

## Item 3: Disciplinary Information

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We have never been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

## Item 4: Other Business Activities

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Anthony Mahfood, Steve Tolleson, Susan Harvell, David Chudyk, Peyton Hoppes, Jordan Roberts, Anna Wolford, Randall Neighbour, Joseph Nelson, Christopher Wilbratte, Robert Moses, Brian Dick, Barry Dick, Jonathan Dick, Ted Erhart, Chris Balcerowiak, Just Chastain, Jay Jackson and Matthew A. Keefe are licensed to sell life and health insurance and may engage in product sales with our clients, for which they will receive additional compensation. Any commissions received through life or health insurance sales do not offset advisory fees the client may pay for advisory services under The Fiduciary Alliance.

David Chudyk is appointed with Nationwide Insurance Companies and their affiliates to sell fixed insurance/annuity products as well as property/casualty insurance. Mr. Chudyk is also the sole owner of The David Chudyk Agency, acting as an insurance agent. Any commissions received through this business do not offset advisory fees the client may pay for advisory services under The Fiduciary Alliance.

Mr. Chudyk also works as a Board member/Secretary at Crime Stoppers of Oconee County.

Mr. Chudyk is the owner of All Of My Assets, LLC, a business valuation and consulting company. Chris Wilbratte and Robert Moses are both Registered Representatives with Purshe Kaplan Sterling Investments.

Mr. Wilbratte is also an owner in Quantum Pension Services Management Corp and Quantum Pension Services.

Mr. Wilbratte is a Partner with Osborn Morano CPAS as well as Echelon Financial. Mr. Wilbratte also does tax preparation work at Echelon Financial.

Mr. Wilbratte is on the board of the Rise School of Austin and a founding member of the Austin Round Table.

Joseph Nelson is a Registered Representative with Fortune Financial.

Barry Dick and Brian Dick are co-owners of Dick Insurance Agency and is involved in Property & Casualty and Life Sales.

Randall Neighbour is the President and Chairman of Touch Outreach Ministries.

Peyton Hoppes is on the board of directors for the National Wild Turkey Federation General and the Spartan West Rotary Club. He is also an owner of L. Well Ventures.

Ted Erhart is the owner of Norris Lake Retirement Planning, a firm through which he runs his advisory business.

Jay Jackson is a seasonal tax preparer.

Mr. Chastain is an instructor for Dalton Education teaching CFP classes.

## Item 5: Additional Compensation

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We do not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through The Fiduciary Alliance LLC.

## Item 6: Supervision

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Victoria Latham as Chief Compliance Officer of The Fiduciary Alliance LLC, is responsible for supervision. She may be contacted at 864-385-7999.